

**河南明泰铝业股份有限公司**  
**2014 年股权激励计划授予股份第三期解锁及**  
**2016 年股权激励计划首期授予股份第一期解锁**  
**暨上市流通的公告**



2014	4,952,880
2014	2018 1 2
2016	14,001,000
2016	2018 1 2

2014

1 2014

10 22

3 2014 11 28

4 2014 12 15 2014

5 2014 12 15

1-3

2014 12 15 2014

2014 12 15 184 16,756,000

6 2014 12 31

7 2015 1 6

8 2015 12 21

6,702,400

2015 12 25

2014

9 2016 12 15  
2014

5,026,800

2016 12 21  
2014

2015

10 2017 12 26

2014

2014

2014

( 30%) 80% 7,680 180  
4,945,200 ( 30%)  
4,952,880

**2016**

1 2016

9 29 <

2016 >

< 2016

> 2016

2016 > < <  
> 2016 < 2016  
> <  
2 2016 10 10 2016  
2016 9 30 2016 10 9  
3 2016 10 17 2016  
< 2016 >  
2016  
4 2016 10 24  
2016  
24 8.09 / 581 2016 10 2,804.00  
2016  
5 2016 11 4  
6 2016 11 8 2016  
7 2017 1 9  
< 2016 >

< 2016

>

8 2017 1 21

2016

2017 1 10

2017 1 20

9 2017 1 25

2017

< 2016

>

2017 2 21

7.27 /

250

10 2017 3 23

2016

2017 3 21

11 2017 12 26

2016

2016

2016

( 50%) 80% 16,000 577

13,985,000 ( 50%)

14,001,000

2014

2014

2014

1

2014

3

2017 12 15

2014

2

1	
1	
2	
3	
2	
1	
2	
3	
4	

3

2016

1 2011 -2013

23,631

11,309

2016

108.96%

45%( )

2

	2016
4	2016
	A-
B- C- D-	
B- C-	
80% D-	

(  
30%) 80% 7,680 180 4,945,200 (  
30%)  
4,952,880  
**2016**  
2016 2016  
2016

1  
2016  
1 2017 10 24 2016

2

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<p>1</p> <p>1</p> <p>2</p> <p>3            36</p> <p>4</p> <p>5</p>	
<p>2</p> <p>1        12</p> <p>2        12</p> <p>3        12</p> <p>4</p> <p>5</p> <p>6</p>	
<p>3</p> <p>1</p> <p>2        2015</p> <p>          15,469                    2016</p> <p>10%(        )</p>	<p>2016</p> <p>23,631</p> <p>52.76%</p> <p>2016</p> <p>26,918</p> <p>2016</p>
<p>4</p>	<p>2016</p>



4			300,000	210,000	90,000	30%	0
5			300,000	210,000	90,000	30%	0
6			300,000	210,000	90,000	30%	0
			2,000,000	1,400,000	600,000	--	0
178			14,756,000	10,329,200	4,352,880	--	73,920
			240,000	168			

	575	2,716.00	1,358.00	50%	0.00	1,358.00
		2,804.00	1,400.10	--	3.40	1,400.50

**2014**

1	2014		2018	1
2				
2	2014		4,952,880	
3				

**2016**

1	2016		2018	1
2				
2	2016		1,400.10	
3				

		2014	2016		
	1	67,588,335		67,588,335	
	2	44,666,800	-4,952,880	-14,001,000	25,712,920
	3			0	0

		112,255,135	-4,952,880	-14,001,000	93,301,255
	A	477,729,200	4,952,880	14,001,000	496,683,080
		477,729,200	4,952,880	14,001,000	496,683,080
		589,984,335	0	0	589,984,335

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- 1
- 2
- 3
- 4